

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: STAHMANN et al.

Application No./Patent No./Control No.: 10/643,154 Filed/Issue Date: 8/18/2003

Entitled: THERAPY TRIGGERED BY PREDICTION OF DISORDERED BREATHING

CARDIAC PACEMAKERS, INC., a CORPORATION

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____%)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014723, Frame 0358, or a true copy of the original assignment is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Clara Davis/

08/11/2009

Signature

Date

Clara Davis

952.854.2700

Printed or Typed Name

Telephone Number

Attorney of Record (U.S. Reg. No. 50,495)

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS
BEFORE THE USPTO**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint

Steven R. Funk	Reg. No. 37,830	Mark A. Hollingsworth	Reg. No. 38,491
Clara Davis	Reg. No. 50,495	William Ashley	Reg. No. 51,419
Richard R. Clapp	Reg. No. 31,751	Tyler L. Nasiedlak	Reg. No. 40,099
Lori J. Heinrichs	Reg. No. 43,667	Alana T. Bergman	Reg. No. 47,430
Jeffrey P. Cook	Reg. No. 48,649		

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

The correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) is:

HOLLINGSWORTH & FUNK, LLC
8009 34th Avenue South, Suite 125
Minneapolis, MN 55425

The Assignee Name and Address is listed below:

Cardiac Pacemakers, Inc.
4100 Hamline Avenue North
Saint Paul, Minnesota 55112

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

Signatures of Assignee of Record (the individual whose signature and title is supplied below is authorized to act on behalf of the assignee)

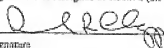
Signature

Name

Title

Telephone

Date



RICHARD R. CLAPP

Asst. Secretary

651 582-4420

08/08/05



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 14, 2004

PTAS



102609675A

CRAWFORD MAUNU PLLC
MARK A. HOLLINGSWORTH
1270 NORTHLAND DRIVE, SUITE 390
ST. PAUL, MN 55120

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

✓ RECORDATION DATE: 11/24/2003

REEL/FRAME: 014723/0358
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: GUID.I03PA

ASSIGNOR:

STAHMANN, JEFFREY E.

DOC DATE: 10/06/2003

ASSIGNOR:

HATLESTAD, JOHN D.

DOC DATE: 10/10/2003

ASSIGNOR:

NI, QUAN

DOC DATE: 10/12/2003

ASSIGNOR:

HARTLEY, JESSE

DOC DATE: 10/07/2003

ASSIGNOR:

DAUM, DOUGLAS R.

DOC DATE: 10/07/2003

ASSIGNOR:

LEE, KENT

DOC DATE: 10/06/2003

✓ ASSIGNEE:

CARDIAC PACEMAKERS, INC.
4100 HAMLINE AVENUE NORTH
SAINT PAUL, MINNESOTA 55112-5798

✓ SERIAL NUMBER: 10643154

FILING DATE: 08/18/2003

PATENT NUMBER:

ISSUE DATE:

✓ TITLE: THERAPY TRIGGERED BY PREDICTION OF DISORDERED BREATHING

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

11-26-2003



102609675

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jeffrey E. STAHMANN
John D. HATLESTAD
Quan NI
Jesse HARTLEY
Douglas R. DAUM
Kent LEE

2. Name and address of receiving party(ies):

Cardiac Pacemakers, Inc.
4100 Hamline Avenue North
Saint Paul, MN 55112-5798

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date(s): October 6, 2003; October 10, 2003; October 12, 2003; October 7, 2003; October 7, 2003; and October 6, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the filing date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

10/643,154 filed August 18, 2003

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark A. Hollingsworth
Address: CRAWFORD MAUNU PLLC
1270 Northland Drive
Suite 390
St. Paul, MN 55120

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40

☒ Authorized to be charged to deposit account 50-0996 (GUID.103PA).

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark A. Hollingsworth

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 6

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

1/25/2003 6TDH11 00000070 500996 10643154

Director of the U.S. Patent and Trademark Office
Mail Stop Assignment Recordation Services
P.O. Box 1450
Alexandria, VA 2213-1450

1 FC:8021 40.00 DA

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

ASSIGNMENT

WHEREAS, I/we: Jeffrey E. Stahmann, residing at 4859 154th Lane NW, Ramsey, Minnesota 55303; John D. Hatlestad, residing at 2044 Edgerton Street, Maplewood, Minnesota 55117; Quan Ni, residing at 5812 Prairie Ridge Drive, Shoreview, Minnesota 55126; Jesse Hartley, residing at 339 Linda Court, Lino Lakes, Minnesota 55014; Douglas R. Daum, residing at 7050 23rd St. North, Oakdale, Minnesota 55128; and Kent Lee, residing at 176 Christenson Ct., Fridley, Minnesota 55432, made certain new and useful inventions and improvements for which I/we herewith file an application for Letters Patent of the United States, which is entitled **THERAPY TRIGGERED BY PREDICTION OF DISORDERED BREATHING**, which was filed on August 18, 2003, and was assigned U.S. Patent Application Serial No. 10/643,154 (Atty. Docket No. GUID.103PA).

AND WHEREAS, Cardiac Pacemakers, Inc., a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at 4100 Hamline Avenue North, St. Paul, MN 55112 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, I/we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and I/we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, I/we do hereby agree that I/we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to me/us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things

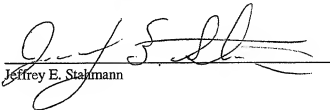
which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore I/we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by me/us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 6 day of

September, 2003.

October
28

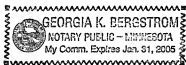

Jeffrey E. Stahmann

STATE OF MN)
COUNTY OF Ramsey) ss.

On this 6 day of October, 2003, before me personally appeared Jeffrey E.

Stahmann, known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]




Notary Public

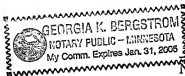
~~John~~ October
September, 2003. IN TESTIMONY WHEREOF, I have hereunto set my hand this 10th day of

John D. Hatlestad
John D. Hatlestad

STATE OF Mn)
) ss.
COUNTY OF Ramsey)

On this 10 day of October, 2003, before me personally appeared John D. Hatlestad, known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]



Georgia K. Bergstrom
Notary Public

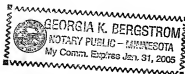
Quan October
September, 2003. IN TESTIMONY WHEREOF, I have hereunto set my hand this 12th day of

Quan Ni
Quan Ni

STATE OF Mn)
) ss.
COUNTY OF Ramsey)

On this 12 day of October, 2003, before me personally appeared Quan Ni, known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]



Georgia K. Bergstrom
Notary Public

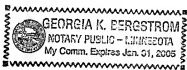
October
September, 2003. IN TESTIMONY WHEREOF, I have hereunto set my hand this 7 day of
J-44

Jesse Hartley
Jesse Hartley

STATE OF Mn)
) ss.
COUNTY OF Ramsey)

On this 7 day of October 2003, before me personally appeared Jesse Hartley, known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]



Georgia K. Bergstrom
Notary Public

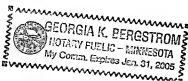
October
September, 2003. IN TESTIMONY WHEREOF, I have hereunto set my hand this 7 day of
20

Douglas R. Daum
Douglas R. Daum

STATE OF Mn)
) ss.
COUNTY OF Ramsey)

On this 7 day of October 2003, before me personally appeared Douglas R. Daum, known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]



Georgia K. Bergstrom
Notary Public

October 11 IN TESTIMONY WHEREOF, I have hereunto set my hand this 6 day of
September, 2003.

Kent Lee
Kent Lee

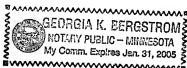
STATE OF Ma)

) ss.

COUNTY OF Remsey)

On this 6 day of ~~September~~ ^{October}, 2003, before me personally appeared Kent Lee, known
to me to be the person described in and who executed the foregoing instrument, and he duly
acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]



Georgia K. Bergstrom
Notary Public